

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3125/2021/1188  
Dated: December 20, 2021

**Haji Ahmad Brothers Securities Limited**  
DSE TREC Holder Number - 041  
Modhumita Cinema Hall Building (6th Floor, Western Side)  
158-160, Motijheel C/A, Dhaka-1000.


**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3125/2021/981 Dated: November 15, 2021 based on which the hearing was conducted on December 06, 2019.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter No. HABSL/HO/BSEC/2021/12/198 & 199 dated December 02, 2021 which was submitted at the time of hearing, has decided to dispose of the matter against you (Haji Ahmad Brothers Securities Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,



20.12.2021

**Md. Sultan Salah Uddin**  
**Deputy Director (Enforcement)**  
PABX: 88-02-55007131-2, Ext- 1306  
E-mail: [ssuddin@sec.gov.bd](mailto:ssuddin@sec.gov.bd)

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26.12.2021

Dir(MIS)

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.