

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2890/2020 /296
February 14, 2022

By Courier Service

Haji Ahmad Brothers Securities Ltd. (DSE TREC No. 41)
Modhumita Cinema Hall Building (6th Floor, Western Side),
158-160, Motijheel C/A, Dhaka-1000
Bangladesh.

Attention: Managing Director/CEO

Subject:WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2890/2020/155 dated January 24, 2022 based on which the hearing was conducted on January 30, 2022.

Considering the explanation vide letter No. HABSL/BSEC/Enforcement/2022/01/17 dated January 27, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Haji Ahmad Brothers Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


24/02/2022

Md. Amirul Islam
Assistant Director (Enforcement)
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Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O.to Executive Director (SRI), BSEC
5. MD, DSE