



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন  
সিকিউরিটিজ কমিশন ভবন  
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭  
www.sec.gov.bd



BSEC/Enforcement/2848/2019-105  
Date: February 10, 2021

By Courier Service

Managing Director/CEO  
Hal Capital Limited  
87, Rashed Khan Menon Road (Level-16)  
Eskaton, Dhaka-1000

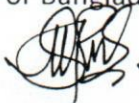
**Subject: Warning to ensure compliance of all securities related law in future.**

This refers to the Commission's show cause cum hearing notice No. **BSEC/Enforcement/2848/2019-73** dated **January 26, 2020** based on which the hearing has been conducted on February 05, 2020.

The Commission, considering Hal Capital Limited's apology and written explanation- which was submitted at the time of hearing, has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you and any other who involved from their lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

 -10.02.21

Md. Rafiqunnabi  
Assistant Director  
e-mail- rafiq@sec.gov.bd

Copy for information:

1. Honorable Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (MIS), BSEC
4. Office file