

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3170/2021/76

By Special Messenger

January 12, 2022

Hasan Securities Limited  
(DSE TREC No.057)  
95/5/D (3rd Floor), Distillery Road,  
Dayaganj Chowrasta, Gandaria, Dhaka-1204

16.01.22  
DD-1 (MIS)

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3170/2021/1021 dated November 24, 2021 and subsequent correspondence, based on which the hearing was conducted on January 03, 2022.

The Commission, considering your explanation vide letter Ref: HSL/BSEC/2020/01/01 dated January 03, 2022 has decided to dispose of the proceedings against Hasan Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen  
12.01.22

**Mahmuda Shireen**  
Deputy Director (Enforcement)  
E-mail: [shireen@sec.gov.bd](mailto:shireen@sec.gov.bd)

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited