

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3241/2022/345

By Special Messenger

February 23, 2022

Hassan Shares & Securities Ltd.
(CSE TREC No.114)
Eastern Kamalapur Complex (4th floor),
Suite no.418 (In front of Kamalapur Railway Station),
64-68, North Kamalapur, Dhaka-1217

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3241/2022/70 dated January 11, 2022 based on which the hearing was conducted on January 17, 2022.

The Commission, considering your explanation vides letters Ref. BSEC/ENQ/01/2022 dated January 16, 2022 and Ref. BSEC/ENQ/02/2022 dated January 20, 2022 has decided to dispose of the proceedings against Hassan Shares & Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen
23.02.22

Mahmuda Shireen
Deputy Director (Enforcement)
E-mail: shireen@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Chittagong Stock Exchange