



Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3197/2021/ 30
Dated: January 05, 2022

By Special Messenger

Hazrat Amanat Shah Securities Limited
 (DSE TREC Holder # 173)
 Phoenix Bhaban (2nd Floor, Southeast Portion)
 12, Dilkusha C/A, Dhaka-1000.

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Attention: Managing Director/CEO

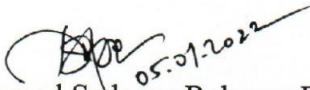
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3197/2021/1099 dated December 09, 2021 based on which the hearing was conducted on December 20, 2021.

The Commission, considering your explanation vide letter dated 19.12.2021 has decided to dispose of the proceedings against Hazrat Amanat Shah Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


 Muhammad Sadequr Rahman Bhuiyan
 Assistant Director (Enforcement)
 E-mail: msrahman@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.