

Bangladesh Securities and Exchange Commission  
Enforcement Division  
Enforcement Department  
Issuer Companies Affairs Section  
[www.sec.gov.bd](http://www.sec.gov.bd)

BSEC/Enforcement/3864/2024/235  
Dated: 03 February, 2025

By Special Messenger

- 01. Hazrat Amanat Shah Securities Limited**  
(DSE TREC No. 173)  
Phoenix Bhaban (2nd Floor, Southeast Portion)  
12, Dilkusha C/A, Dhaka-1000
- 02. Md. Abul Hasnat**  
Managing Director and Compliance Authority  
Hazrat Amanat Shah Securities Limited.
- 03. Mohammad Shofiul Azam**  
Compliance Officer  
Hazrat Amanat Shah Securities Limited
- 04. Mr. H M Mahmudul Hoque**  
In-charge of Chattogram Branch  
Hazrat Amanat Shah Securities Limited

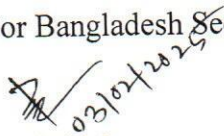
**Subject: Warning to ensure compliance of all securities related law in future.**

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3864/2024/61, Date: January 09, 2025 based on which the hearing was conducted on January 20, 2025.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter ref: HAS/Admin/2025/37 dated January 19, 2025 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
Rabiul Islam  
Assistant Director (Enforcement)  
Mobile: 01731-421312  
Email: rabiul@sec.gov.bd

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. PO to Commissioner (Enforcement), BSEC
3. PO to Executive Director (MIS)
4. PO to Executive Director (MIAD) with reference to DSE letter No: DSE/MCD/BSEC/2024/3215, dated 26 May, 2024
5. PO to Director (Enforcement), BSEC
6. Managing Director, Dhaka Stock Exchange PLC.