

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3125/2021/1187
Dated: December 20, 2021

Hazrat Amanat Shah Securities Limited
DSE TREC Holder Number - 173
Phoenix Bhaban (2nd Floor, Southeast Portion)
12, Dilkusha C/A, Dhaka-1000.


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3125/2021/982 Dated: November 15, 2021 based on which the hearing was conducted on December 15, 2019.

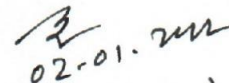
The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter No. HAS/Admin/457 dated December 15, 2021 which was submitted at the time of hearing, has decided to dispose of the matter against you (Hazrat Amanat Shah Securities Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


20.12.2021

Md. Sultan Salah Uddin
Deputy Director (Enforcement)
PABX: 88-02-55007131-2, Ext- 1306
E-mail: ssuddin@sec.gov.bd


02-01-2022
Dw (MIS)

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.