Bangladesh Securities and Exchange Commission Enforcement Division Enforcement Department

Issuer Companies Affairs Section

www.sec.gov.bd

BSEC/Enforcement/3628/2024/579

Dated: 08 July, 2024

By Special Messenger

01. Hedayetullah Securities Limited

(DSE TREC No. 140) Room # 624 (5th Floor), 9/E Motijheel C/A, Dhaka-1000

02. Mr. Mahmudul Haque

Compliance Officer Hedayetullah Securities Limited.

03. Mr. Biplob Chandra Mondol

Accounts In-Charge Hedayetullah Securities Limited

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3628/2024/482 Date: 28 May, 2024 based on which the hearing was conducted on 24 June, 2024.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter dated 24 June, 2024 which was submitted at the time of hearing, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

08/2/2

Rabiul Islam

Assistant Director (Enforcement)

Mobile: 01731-421312 Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC

2. P.Ø. to Commissioner (Enforcement), BSEC

3. P.O to Executive Director (MIS)

4. P.O. to Director (Enforcement), BSEC.

5. Managing Director, Dhaka Stock Exchange Ltd.