Bangladesh Securities and Exchange Commission Enforcement Division Enforcement Department Issuer Companies Affairs Section www.sec.gov.bd

BSEC/Enf/3629/2024/ 79 Dated: January 14, 2025

By Courier Service

Hussain Farhad & Co.
Chartered Accountants
House # 15, Road # 12, Block # F, Niketon
Dhaka 1212.

Subject: Warning to Ensure Compliance of All Securities-Related Laws in the Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enf/3629/2024/852 dated October 31, 2024, based on which the hearing was scheduled and conducted on November 11, 2024.

The Commission, considering the explanation vide letter No. HFC/CTG/BSEC/Enf/1348 dated November 11, 2024, which was submitted at the time of the hearing, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction with the default/contravention made by you with a **warning** to ensure compliance of all securities-related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For the Bangladesh Securities and Exchange Commission,

Muhammad Sadequr Rahman Bhuiyan Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Executive Director (CG), BSEC
Executive Director (ICTD), BSEC
Managing Director, Dhaka Stock Exchange Ltd.