

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3097/2024/131

Dated: February 08, 2024

By Special Messenger

1. ICB Securities Trading Company Limited
(TREC NO. DSE 129)
Green City Edge
(7th & 8th Floor, North East Side),
89, Kakrail, Dhaka-1000.
2. Mr. Md. Mofizur Rahman
CEO & Compliance Authority
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
3. Mr. Md. Kamal Uddin
Accounts In-charge
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
4. Mr. Supriya Saha
Compliance Officer
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
5. Mr. B.M. Rabiul Alam
Principle Officer
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
6. Mr. Bachu Mia
Despatcher (AR)
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
7. Mr. Md. Hasan Ali
Branch In-charge, Bogura Branch
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
8. Mr. Md. Bakul Hossain
CDBL In-charge
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
9. Mr. Md. Shahidul Islam
Branch In-charge, Barishal Branch
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
10. All Branch In-charges
11. ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
12. CDBL In-charges of all branches
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
13. Mr. Md. Bakul Hossain
CDBL In-charge ICB Securities Trading
Company Limited Green City Edge (7th &
8th Floor, North East Side), 89, Kakrail,
Dhaka-1000.
14. Compliance Officer of all Branches
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
15. Mr. Md. Zahirul Islam
Branch In-charge, Sylhet Branch
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
16. Mr. Jubair Ahmed
Compliance Officer
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
17. Mr. Md. Ikhtiar Khan
Branch In-charge, Uttara Branch
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
18. Ms. Tanjila Islam
Compliance Officer, Uttara Branch
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.
19. Mr. Mohammad Abu Taher Talukder
CDBL In-charge, Uttara Branch
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.

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19. Mr. Md. Ashraful Islam
Compliance Officer, Bogura Branch
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.

20. Mr. Md. Morsheduzzaman
CDBL In-charge, Bogura Branch
ICB Securities Trading Company Limited
Green City Edge (7th & 8th Floor, North East
Side), 89, Kakrail, Dhaka-1000.

Attention: Managing Director/CEO/Alleged person

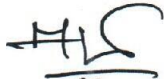
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3097/2021/572 dated August 09, 2022 based on which the hearing was conducted on August 20, 2023.

The Commission, considering your adjustment of irregularities vide letter dated 17.08.2023 has decided to dispose of the proceedings against you/yours by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,



08.02.2024

Md. Mahmudul Hasan
Assistant Director (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd
Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SMMID), BSEC. With reference to memo no. BSEC/SMMID/99-841/2023/24 Dated: June 21, 2023.
- ✓ 3. PO to Executive Director (ICTD), BSEC.