

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/1194/2013/389

Dated: March 01, 2022

ICB Securities Trading Company Limited
DSE TREC Holder Number - 129
Green City Edge (7th Floor)
89, Kakrail, Dhaka-1000

Kind Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/1194/2013/248 Dated: February 07, 2022 based on which the hearing was conducted on February 27, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter No. ISTCL/CMP/103/2022/4244 dated February 24, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (ICB Securities Trading Company Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Sultan Salah Uddin
Deputy Director (Enforcement)
PABX: 88-02-55007131-2, Ext- 1306
E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O. to Executive Director (MSI), BSEC
5. Managing Director, Dhaka Stock Exchange Ltd.