



BANGLADESH SECURITIES AND EXCHANGE COMMISSION  
Securities Commission Bhaban  
E-6/C Agargaon, Sher-e-Bangla Nagar  
Administrative Area, Dhaka 1207, Bangladesh



**BSEC/Enforcement/2994/2021/69**  
**Dated: January 27, 2021**

**By Courier Service**

Client Name: Mr. Akbar Hossain  
BO ID: 1204690033181626, Client Code: PT9675  
BO ID: 1204690033181626, Client Code: PT9637  
ICB Securities Trading Company Limited (DSE TREC No. 129)  
Green City Edge (7th Floor), 89, Kakrail, Dhaka-1000.  
Mobile: 01819227825


**Sub: Non-compliance of securities laws: -Warning.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2994/2021/31 dated January 12, 2021 based on which the hearing was conducted on January 25, 2021.

The Commission, considering the explanation vide letter dated January 25, 2021 submitted at the time of hearing, has decided to dispose of the proceedings against you (Mr. Akbar Hossain) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

  
27.01.2021

**Md. Sultan Salah Uddin**  
**Assistant Director (Enforcement)**  
PABX: 88-02-55007131-2, Ext- 1318  
E-mail: ssuddin@sec.gov.bd

**Copy for information:**

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Director (Enforcement), BSEC.

“মুজিববর্ষের অঙ্গীকার উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”