

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/828/2010/184

Date: January 27, 2022

ICB Securities Trading Company Limited

Green City Edge (7th Floor),

89, Kakrail, Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/828/2010/23 Date: January 12, 2011 based on which the hearing was conducted on January 31, 2011.

The Commission, considering and examining the facts regarding allegations, physical appearance and your written explanations vide letter No. ISTCL/3192/2011 dated January 30, 2011 which was submitted at the time of hearing, has decided to dispose of the matter against you (ICB Securities Trading Company Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

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Copy for information:

1. PS to the Honorable Chairman. BSEC
2. P.O. to Commissioner (Enforcement), BSEC
- ~~3. P.O. to Executive Director (MIS)~~
4. P.O. to Director (Enforcement), BSEC.