



# বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/2608/2018/৫৪১

Dated: 15 November, 2018

ICB Securities Trading Company Ltd.  
(DSE TREC No. 129)  
Green City Edge (7<sup>th</sup> Floor)  
89. Kakrail,  
Dhaka-1000.

**Attention:** Managing Director/CEO

**Subject:** Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2608/2018/365 dated September 23, 2018 based on which the hearing was conducted on October 10, 2018.

The Commission, considering your explanation vide letter no. ISTCL/CMP/103/2018/4265 dated 09.10.18 and ISTCL/CMP/103/2018/4376 dated 30.10.18 has decided to dispose of the proceedings against ICB Securities Trading Company Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
15.11.18

**Mohammad Al Masum Mirdha**  
Deputy Director (Enforcement)  
Phone : 55007147

M/F

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (MIS), BSEC