



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

SEC/Enforcement/1181/2013/115

Dated: April 13, 2017

By Courier Service

ICB Securities Trading Company Limited
Shilpa Bank Bhaban,
8 DIT Avenue (13th floor),
Dhaka - 1000.

Attention: Managing Director/CEO

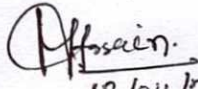
Sub: Non-compliance of securities related laws: Disposal of the alleged matter.

This refers to BSEC's show cause-cum-hearing notice no. SEC/Enforcement/1181/2013/06 dated January 10, 2017 and your reply letter dated January 18, 2017.

The Commission, considering the explanation vide letter dated January 18, 2017 submitted at the time of hearing, has decided to dispose off the proceedings against you.

Please note that this disposal does not absolve you or any of its directors or officials concerned from their lawful responsibilities/obligations to the persons, if any, affected as a result of your above matters under reference.

For Bangladesh Securities and Exchange Commission


13/04/2017.

Md. Hossain Khan

Deputy Director

Copy for information:

Commissioner (Enforcement), BSEC
Executive Director (Surveillance), BSEC
Executive Director (Law), BSEC
Executive Director (MIS), BSEC
Chairman's Office