

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3274/2022/ 1025
July 31, 2022

By Special Messenger

1. IDLC Investments Limited-Omnibus
BO ID: 1602510000881730
2. IDLC Investment Ltd.
BO ID: 1602510045047740
3. Md. Moniruzzaman
Managing Director, IDLC Investment Ltd.
BO ID: 1205590069646971
BO ID: 1201820073383371
DR Tower (4th Floor), Bir Protik Gazi Golam Dastagir Road
Purana Paltan, Dhaka-1000

Attention: Managing Director/CEO, IDLC Investment Limited

Subject: WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3274/2022/936 dated June 23, 2022 based on which the hearing was conducted on July 17, 2022.

Considering the explanation vide letter No. IDLCIL/22/0556 dated July 17, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the above mention persons and entities by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

M. Yusuf

Md. Yusuf Bhuiyan
Additional Director (Enforcement)
Phone: 55007139
e-mail: yusuf@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
- ~~3. P.O to Executive Director (Enforcement), BSEC~~
4. P.O. to Executive Director (SRI), BSEC

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