

BSEC/Enforcement/2672/2019/265  
Dated: April 05, 2022

IDLC Securities Limited  
TREC Holder Number (CSE #119)  
DR Tower (4th Floor), 65/2/2  
Bir Protik Gazi Golam Dastagir Road,  
Purana Paltan, Dhaka-1000.  
Bangladesh.

**Attention: Managing Director/CEO**

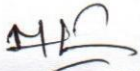
**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2672/2019/265 dated February 08, 2022 based on which the hearing was conducted on February 28, 2022.

The Commission, considering your explanation vide letter dated 28.02.2022 has decided to dispose of the proceedings against IDLC Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

  
07.04.2022  
Md. Mahmudul Hasan  
Assistant Director-v (Enforcement)  
Mobile: 01515292195  
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.