



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2410/2017/408

Dated: December 24, 2017

By Courier Service

IDLC Securities Limited (DSE TREC # 058)  
D R Tower (4th Floor),  
65/2/2, Bir Protik Gazi Golam Dostogir Road,  
Purana Paltan, Dhaka-1000.

**Attention:** Managing Director/CEO

Sub: **Non-compliance of securities related laws. WARNING**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2410/2017/206 dated July 31, 2017 based on which the hearing was conducted on August 23, 2017.

The Commission, considering your explanation vide letter dated August 22, 2017 submitted at the time of hearing, has decided to dispose of the proceedings against IDLC Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

  
Hafiz Mohammed Harunur Rashid

Deputy Director

**Copy for information:**

Commissioner (Enforcement), BSEC  
Executive Director (Surveillance), BSEC  
Executive Director (Law), BSEC  
Executive Director (MIS), BSEC  
Chairman's Office