



## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2723/2019/৭২

Dated: January 05, 2022

By Special Messenger

IDLC Securities Limited  
(DSE TREC Holder # 058)  
D R Tower (4<sup>th</sup> Floor),  
65/2/2, Bir Protik Gazi Golam Dostogir Road,  
Puran Paltan,  
Dhaka-1000.

*Handwritten signature*  
9-8-2021  
Div (MIS)

**Attention: Managing Director/CEO**

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2723/2019/1155 dated December 13, 2021 based on which the hearing was conducted on December 29, 2021.

The Commission, considering your explanation vide letter dated 29.12.2021 has decided to dispose of the proceedings against IDLC Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

*Handwritten signature*  
05-01-2021

Md. Mahmudul Hasan  
Assistant Director-v (Enforcement)  
Mobile: 01515292195  
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.