

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3268/2022/542

By Special Messenger

Dated: April 05, 2022

IIDFC Capital Limited
PFI Tower (3rd Floor)
56-57 Dilkusha C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3268/2022/418 dated March 06, 2022 based on which the hearing was conducted on March 15, 2022 and March 21, 2022.

The Commission, considering your explanation vide letter Ref. No: ICL/BSEC-Enforcement/2022/12 dated March 20, 2022 has decided to dispose of the proceedings against IIDFC Capital Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen
05.04.22

Mahmuda Shireen
Deputy Director (Enforcement)
E-mail: shireen@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC