



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/2510/2018/592

By Special Messenger

Dated: 17 November, 2019

Indicate Securities & Consultant Ltd(DSE Member # 154)
Dhaka Stock Exchange (Annex Building)
Room # 523-524(4th Floor), 9/E, Motijheel C/A,
Dhaka - 1000.
Mobile: 01713-400500

Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2510/2018/412 dated 25 August, 2019 based on which the hearing was conducted on October 21, 2019.

The Commission, considering the explanation vide letter dated October 21, 2019 submitted at the time of hearing, has decided to dispose of the proceedings against Indicate Securities & Consultant Ltd(DSE Member # 154) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission-

Md. Shahnaws
Assistant Director

Mob:01816810586

Copy for information:

Chairman's office, BSEC
P.O to Commissioner (Enforcement), BSEC
P.O to Executive Director (Enforcement), BSEC
P.O to Executive Director (MIS), BSEC
P.O to Executive Director (SRI), BSEC
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