

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries, and Other Affairs Section
www.sec.gov.bd

BSEC/Enf/ 3605/2023/ 525
Dated: June 11, 2024

By special messenger

Investasia Capital & Asset Management Limited
Shareef Mansion, (7th Floor), 56-57, Motijheel C/A
Dhaka -1000, Bangladesh

Attention: Managing Director/CEO.

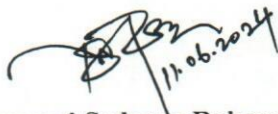
Subject: Warning to ensure compliance with all securities-related laws in the future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enf/3605/2024/356 dated April 17, 2024, based on which the hearing was conducted on May 05, 2024.

The Commission, considering the explanation vide letter no. INVESTASIA/BSEC/2024/05/05-2 dated May 05, 2024, which was submitted at the time of the hearing has decided to dispose of the proceedings against Investasia Capital & Asset Management Limited by placing on record the Commission's dissatisfaction with the default/contravention made by you with a **warning** to ensure compliance with all securities-related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission



Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Managing Director, Dhaka Stock Exchange Ltd.
Executive Director (SMMID), BSEC
Executive Director (ICTD), BSEC