



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/1014/2011/1507
Dated: November 20, 2022

By Special Messenger

Investment Corporation of Bangladesh (ICB)
BO ID : 1201530000003501
BDBL Building (Level 14-17)
8, Rajuk Avenue (Level 14-21)
Motijheel C/A, Dhaka -1000

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/1014/2011/1402 dated October 27, 2022 based on which the hearing was conducted on November 13, 2022.

The Commission, considering your explanation letter ref no.53.13.0000.070.33.008.22.330 dated 10.11.2022 has decided to dispose of the proceedings against Investment Corporation of Bangladesh (ICB) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Rubel
20-11-2022

Rubel Hossain
Assistant Director (Enforcement)
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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (MSI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.