

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3211/2021/375
Dated: February 24, 2022

Investment Promotion Services Limited
(TREC Holder # 158)
Amin Court (5th Floor)
62-63, Motijheel C/A
Dhaka-1000

Attention: **Manging Director/CEO**

Subject: **Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement /3211/2021/1265 Dated December 28, 2021 based on which the hearing was conducted on January 01, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter dated January 10 and January 31, 2022, has decided to dispose of the matter against you (Investment Promotion Services Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

24.02.2022

Mohammed Abu Hena Mostafa
Assistant Director (Enforcement)
Phone: 01816-243634
Email: mostafaanik@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O. to Director (Enforcement), BSEC.
4. P.O to Executive Director (MIS)
5. M.D, DSE