



Bangladesh Securities and Exchange Commission

"মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার"

BSEC/Enforcement/3005/2021/1182
Date: 05 September, 2022

By Courier Service

Island Securities Ltd.
(DSE TREC No- 106)
Faruk Chamber (4th Floor, West Side & 6th Floor)
1403, Sk. Mujib Road, Agrabad, Chattogram.

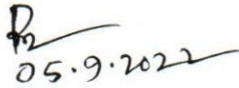
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/3005/2021/735 Date: 18 May, 2022 based on which the hearing was conducted on 05 June, 2022.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref. No. ISL/COMPLIANCE/BSEC/2022/08 dated June 02, 2022 which was submitted at the time of hearing, has decided to dispose of the matter against you (Island Securities Ltd.) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


05.9.2022

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.