

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3083/2021/৪২৫  
Dated: October 07, 2021

**By Special Messenger**

Jamuna Bank Securities Limited  
(CSE TREC No. 147)  
Hadi Mansion (3rd Floor) 2,  
Dilkusha C/A, Dhaka-1000.

21 OCT 2021

D/M/S

**Attention:** Managing Director/CEO

**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3083/2021/556 dated August 19, 2021 based on which the hearing was conducted on September 06, 2021.

The Commission, considering your explanation vide letter dated September 06, 2021 has decided to dispose of the proceedings against Jamuna Bank Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
07.10.2021  
**Mohammad Al Masum Mirdha**  
Additional Director (Enforcement)  
Phone : 55007147  
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
- ✓ 3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.