

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2953/2020/86

By Special Messenger

January 13, 2022

JKC Securities Limited
(DSE TREC No.179)
Room # 1001 (9th Floor), Modhumita Building
158-160, Motijheel C/A, Dhaka-1000

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16.01.22
DD-1 (MIS)

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2953/2020/1249 dated December 26, 2021 based on which the hearing was conducted on January 03, 2022.

The Commission, considering your explanation vide letters Ref: JKC/TREC-179/BSEC/122/2022 dated January 03, 2022 and Ref: JKC/TREC-179/BSEC/123/2022 dated January 05, 2022 has decided to dispose of the proceedings against JKC Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen

13.01.22

Mahmuda Shireen
Deputy Director (Enforcement)
E-mail: shireen@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited