

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3123/2021/906
October 26, 2021

By Courier Service

Jahan Securities Limited
DSE TREC Holder No.195
Room No. 212&213, Dhaka Stock Exchange Building
9/F Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3123/2021/790 dated October 05, 2021 based on which the hearing was conducted on October 11, 2021.

Considering the explanation vide letter dated October 10, 2021 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the Jahan Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission


Md. Amirul Islam
Assistant Director (Enforcement)
Phone: 01681009385
e-mail: amirul@sec.gov.bd

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (Enforcement), BSEC
4. P.O. to Executive Director (SRI), BSEC