

Bangladesh Securities and Exchange Commission

Enforcement Division
Enforcement Department
Issuer Company Affairs Section
www.sec.gov.bd

BSEC/Enf/3472/2023/1195

Date: December 30, 2024

Jesco Capital Management Ltd.

Pedrollo Plaza (3rd Floor)

5 Jubilee Road

Chattogram

Attention: Managing Director/CEO

Subject: Non-Compliances related to not maintaining sufficient fund of 'customers' in the Consolidated Customers' Account of the Company: Warning to ensure compliance of all securities related laws in future

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3472/2023/909 dated November 10, 2024 based on which the hearing was conducted on November 18, 2024.

Subsequently, you have submitted your replies vide letter dated November 18, 2024 where you mentioned your compliance status.

The Commission, considering your degree of non-compliances, has decided to warn you to be careful in future and also suggested to be cautious about maintaining due diligence related to securities related laws. Any divergence of securities related laws and failing of lawful responsibilities will be taken seriously whereas you will be brought under appropriate enforcement action.

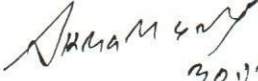
You are hereby advised to follow up individual job responsibility of the employee and make them responsible about lapse found in the above-mentioned show-cause letter. Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.



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For Bangladesh Securities and Exchange Commission,


30.12.2024

Akram Siraj

Assistant Director (Enforcement)

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Copy for information:

1. Chairman Office, BSEC
2. PO to Commissioners, BSEC
3. PO to Executive Director (MIAD)
4. PO to Executive Director (ICTD), BSEC
5. Managing Director, Dhaka Stock Exchange PLC