Bangladesh Securities and Exchange Commission Enforcement Division Enforcement Department Market, Market Intermediaries and Other Affairs Section

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BSEC/Enforcement/3498/2023/279

Dated: March 21, 2024

By Special Messenger/Courier

Joytun Securities Limited

(TREC no. DSE 148)

Unit # 5A, Ittefaq Bhaban (Manik Mia Foundation, 5th Floor), 1, R.K Mission Road, Dhaka-1203.

Mr. Md. Rakibul Islam

COO- Joytun Securities Limited Unit # 5A, Ittefaq Bhaban (Manik Mia Foundation, 5th Floor), 1, R.K Mission Road, Dhaka-1203.

Mr. Md. Jillur Rahman

Accounts In charge Joytun Securities Limited Unit # 5A, Ittefaq Bhaban (Manik Mia Foundation, 5th Floor), 1, R.K Mission Road, Dhaka-1203.

Attention: Managing Director/CEO/Alleged Person

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3498/2023/1019 dated November 19, 2023 based on which the hearing was conducted on December 03, 2023.

The Commission, considering your adjustment of irregularities vide letter dated 03.12.2023 has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

Md. Mahmudul Hasan

Assistant Director (Enforcement)

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Copy for information:

1. PS to Chairman, BSEC.

2.PO to Executive Director (SMMID), BSEC. With reference to memo no. BSEC/SMMI/DSE/Ins-84/2022/699 Dated: October 10, 2023.

2. PO to Executive Director (ICTD), BSEC.

 Managing Director, Dhaka Stock Exchange PLC. With reference no. DSE/MCD/BSEC/2023/019/5298 Dated: September 20, 2023.