

Bangladesh Securities and Exchange Commission

“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”

BSEC/Enforcement/ 3255/2022/ 586

By Courier Service

Dated: April 13, 2022

Jamuna Bank Capital Management Limited
2 Hadi Mansion (3rd Floor), 2 Dilkusha C/A, Motijheel
Dhaka- 1000.

Attention: Managing Director/CEO

Subject: Non-compliance of securities related laws: Dispose of the alleged matter.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/ 3255/2022/367 Dated: February 23, 2022, based on which the hearing was conducted on March 07, 2022.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations vide letter JBCML/HO/2022/251 dated March 06, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against you.

Please note that this disposal does not absolve you from your lawful responsibilities/ obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Muhammad Sadequr Rahman Bhuiyan

Assistant Director (Enforcement)

Phone-01557344274

E-mail- msrahman@sec.gov.bd

Copy for information:

1. Chairman's Office, BSEC
2. Executive Director (SRI), BSEC
3. Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Ltd.