



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2652/2018/ 279

By Special Messenger

Dated: 27 March, 2023

K- Securities & Consultants Limited
(DSE TREC No. 122)
Room # 194, DSE Tower (Level-10), Plot # 46,
Road # 21, Nikunja-2, Dhaka-1229

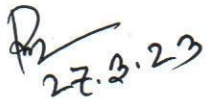
Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's Show cause cum hearing notice No. BSEC/Enforcement/2652/2018/181, Date: 26 January, 2023 based on which the hearing was conducted on 12 February, 2023.

The Commission, considering and examining the facts regarding allegations and your written explanations vide letter Ref: KSCL-BSEC/Hearing/Enforce/2023/6722 dated 09 February, 2023 which was submitted at the time of hearing, has decided to dispose of the matter against you (K- Securities & Consultants Limited) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


27.3.23

Rabiul Islam
Assistant Director (Enforcement)
Mobile: 01731-421312
Email: rabiul@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC
2. P.O. to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS)
4. P.O. to Director (Enforcement), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.