

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2652/2018/1315

By Special Messenger

Dated: December 30, 2021

K-Securities and Consultants Limited
(DSE TREC No.122)
Room # 601/A & 604, Modhumita Cinema Building (5th Floor)
158-160, Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2652/2018/1020 dated November 24, 2021, based on which the hearing was conducted on December 13, 2021.


The Commission, considering your explanation vide letter Ref. No. KSCL-BSEC/Enforcement/2021/6385 dated December 12, 2021 has decided to dispose of the proceedings against K-Securities and Consultants Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Mahmuda Shireen
30.12.21

Mahmuda Shireen
Deputy Director (Enforcement)
E-mail: shireen@sec.gov.bd


03.01.22
Dir (MIS)

Copy for information:

1. P.S. to Chairman, BSEC
2. P.O. to Executive Director (SRI), BSEC
3. P.O. to Executive Director (MIS), BSEC
4. Managing Director, Dhaka Stock Exchange Limited