



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2707/2019/967

Dated: June 29, 2022

K.H.B. Securities Limited (DSE TREC No. 143)
City Centre (Level-12), Unit # 11-B (South-West Side)
90/1, Motijheel C/A, Dhaka-1000.

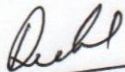
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2707/2019/878 dated June 16, 2022 based on which the hearing was conducted on June 27, 2022.

The Commission, considering your explanation vide letter no. KHB/BSEC/06-22/034 dated 26.06.2022 has decided to dispose of the proceedings against K.H.B. Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


29-06-22

Rubel Hossain
Assistant Director (Enforcement)
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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.