

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3506/2023/151
Dated: February 13, 2024

01. **KDS Shares and Securities Limited (DSE TREC # 262)**, House # 63 (1st Floor), Road # 04, Block # C, Banani, Dhaka-1213.
02. **Mr. Sayed Tanbir Rana, CEO**, KDS Shares and Securities Limited (DSE TREC # 262), House # 63 (1st Floor), Road # 04, Block # C, Banani, Dhaka-1213.
03. **Mr. Md. Anisur Rahman Siker, Compliance Officer**, KDS Shares and Securities Limited (DSE TREC # 262), House # 63 (1st Floor), Road # 04, Block # C, Banani, Dhaka-1213.
04. **Mr. Mashuda Hossain, Accounts In-Charge**, KDS Shares and Securities Limited (DSE TREC # 262), House # 63 (1st Floor), Road # 04, Block # C, Banani, Dhaka-1213.

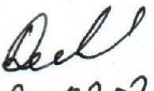
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3506/2023/48 dated 23 January 2024 based on which the hearing was conducted on 11 February 2024.

The Commission, considering your explanation vide letter ref. KDS/BSEC/ENFORCEMENT/REPLY48/2024 dated 08.02.2024 has decided to dispose of the proceedings against KDS Shares and Securities Limited (DSE TREC # 262) and the concerned officers of KDS Shares and Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


13-02-2024
Rubel Hossain
Assistant Director (Enforcement)
E-mail: rubel@sec.gov.bd
Mobile : 01922-151361

Copy for information:

1. Chairman Office, BSEC.
2. PO to Executive Director (MIAD), BSEC; with reference to DSE letter no. DSE/MCD/BSEC/2023/019/5231 dated 18 September 2023.
- ✓ 3. PO to Executive Director (ICTD), BSEC.
4. Managing Director, Dhaka Stock Exchange Limited.