

Avt`k

thtnZi Kugkb, tK GBP we wmkDwiUR wj wgtUW (wVGmB m`m` bs-143) tK Zvi Avte`bmtg, wbañi Z kZaxtb wmkDwiUR mq wepq KgRvE cwi Pvj bv Kivi Rb` wmkDwiUR I G- tPA Kugkb (AZ:ci OKugkb0 etj Duj w-Z) wmkDwiUR I G- tPA Kugkb AvBb, 1993 (1993 mñbi 15 bs AvBb) Gi 10(1) G c0 E ¶lgZvetj wmkDwiUR I G- tPA Kugkb (-K-wj vi, -K-tetKvi I Abtgw Z c0Zubia) wewagjv, 2000 Gi wewa 5(5) mn cwiZ -KtetKvi/wj vi ti wRtokb mwUctiKU c0 vb Kti tQ;

thtnZi Kugkb Gi Avt`k bs SEC/SRI/INS/DSE-MEM/2010/27 dated February 10, 2011 Gi gva`tg tK GBP we wmkDwiUR wj wgtUW G cwi`kñbi Rb` GKwU KugwU MWZ nq Ges D³ cwi`kñ KugwU `wLj KZ c0Zte`b Abñvqx findings and contraventions wbañi“C:

“K.H.B. Securities Limited has provided loan facilities to the following clients without margin account agreements:

SL. No.	Date	Clients Name	Code No.	Loan Amount (In Tk.)
1	09/02/2011	Mr. Md. Nasir Uddin Ahmed	00004	4,61,579.97
2	09/01/2011	Mr. Syed Azizul Haque	00006	2,49,417.49
	10/01/2011	Mr. Syed Azizul Haque	00006	4,93,732.99
3	15/02/2011	Mr. Md. Habibur Rahman	00290	2,70,573.07
4	05/12/2010	Mr. Saadi-Al-Hussain	00300	18,64,931.75

Contravention: Through the aforesaid activities K.H.B. Securities Limited violated rules 3(2) of Margin Rules, 1999.”

thtnZi Kugkb Dcti wj w-Z c0Zte`b Abñvqx wmkDwiUR AvBb cwi cyj tb e`Zvi `i`b tK GBP we wmkDwiUR wj wgtUW Gi eiveti GKwU show-cause-cum-hearing notice mñ bs- SEC/Enforcement/926/2011/250 Zwi L 21 Gwçj 2011 Bs tç0Y Kti Ges 29 Rp 2011 Bs Zwi tL kpñbxi w`b avh`Kti Ges avh`RZ Zwi tL D³ tetKvi/wj vi Gi c0vb wbeñx KgRZP Dcw`Z ntq& 27 Rp 2011 Bs Zwi tLi cñi gva`tg wj wLZ e³e` wLj Ktib| hñZ Abñvbi gñ, wbtgv³ wcl q. wj i Dñj E-AvtQ:-

“(K) mwgk bs-1, tKwW bs-4, MñK Rbve bwmi Dwi b fñT v| D³ MñK 4 j ¶j UvKvi GKwU tPK Rgv w`tq 9 Ges 10 tde`qwi tkqvi mq Ktib| 11 Ges 12 tde`qwi QjU _vKvq Avgiv tPKwU 13-02-11 Zwi tL e`vstK Rgv t`B e`vsk Avgwñ MñK 15-02-11 Zwi tL AeMZ Kti th`¶ji Avgtj i Kvi tb tPKwU tdir GñmtQ| Zr¶j bvr Avgiv wcl qwU MñK tK AewñZ Kwi Ges MñK bM` UvKv Rgv w`tq wmwewU mgšq Ktib| tPK tdir AvmwUv GKUv `tLRBk NUbv|

(L) mwgk bs-2, tKwW bs-6, MñK %ñq` AvñRRj nK| D³ MñK 9 Rvbgwñi Zwi tL UvKv-2,49,419.49 Gi tkhvi mq Ktib Ges UvKv-2,50,000/- UvKvi tPK c0 vb Ktib hñv 11-01-11 Zwi tL Zvi wmwete Rgv Kiv nq, hñvi gva`tg wmwewU mgšq nq|

Avf`k

(M) μwgK bs-3, tKwW bs-290, wmwve tgvT nweej ingvb| Rbve tgvT nweej ingvb mvtne AvgvT` i wbgqgZ MhK wZwb wewfboeZwii tL tkqvi μq Ktib Ges mefkl 09-03-2011 Zwii tL cftiv UvKv mgšq Ktib| Zvi wmwveU wKQyr` b FYvZK _vKtj I tmUv MhK wmwvtei UvKvq Kiv nq bvB| hmv trvK fweL`tZ G ai tbi NUbvi cpivevE tivta Avgiv mevEK mZKZv Aej`b Ki uQ&|

(N) μwgK bs-4, tKwW bs-300, wmwve Rbve mw` Avj nrmvb| D³ MhK 5-12-10 Zwii tL tPtKi gva`tg tkqvi μq Ktib Ges Gi ciw`b A_vr 6-12-10 Zwii tL D³ UvKv Rgv nq|

Dctiv³ wmwve mgfni tj bt` tbi cKwZ nZ cZxqgvb nq th, B`QvKZfvte tKvb Awbqg Kiv nq bvB| Awbtqvg hZUKz ntqtQ Zv AvgvT` i AAZv chZ Zv Qvov tPK tdir Avmvi e`vcvi Uvq AvgvT` i Ki Yxq wKQb wQj bv|

AZGe, Avcbvi wBKU Abtiva GB th, AvgvT` i Awb`QvKZ fj t`wU, tj vK Qlgyv` i `wotZ wetePbv Kiteb| fweL`tZ GB ai tbi wbgq einfZ tj bt` b hrvvZ Avi bv nq tm e`cvti Avgiv AZ`š-mtPó _vKe|`”`”

thtnZ, tK GBP we wmwKDwi wJR wj wgtUW Gi wj wLZ e³e` t_tK my`úo cZxqgvb nq th, i`bvxi tbwUtKi AwfthvMmgn tK GBP we wmwKDwi wJR wj wgtUW `xKvi KtitQ hv wmwKDwi wJR AvBb cwicvj tb e`_Zv weavq section 22 of the Securities and Exchange Ordinance, 1969 Abthvqx kw`thvM` Aciva Ges hv Qlgi AthvM`;

thtnZ, Kwgktbi wetePbvq, wmwKDwi wJR AvBb cwicvj tb Dwj wLZ e`_Zvi Rb`, Z_v cPRevRvti i Dbqtb ckvvcwk evRvti i ksLj v I `^QZv i Qlvi `t`_D³ teKvi /wJvi tK Rwi gvbv Kiv cQqvRb I mgxPxb;

AZGe, tmtnZ, Kwgkb, Dwj wLZ hveZxq wclq wetePbvceK, Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969) Gi section 22 [hv The Securities and Exchange (Amendment) Act, 2000 Øviv mstkwaz] G cõ E` Qlgzvetj tK GBP we wmwKDwi wJR wj wgtUW Gi Dci 3 (wZb) j`Q UvKv Rwi gvbv avh`Kij hv A` Avf`tki Zwii L nZ 15 (cftbi) w` tbi gta` ØmwKDwi wJR I G` tPÄ Kwgkbõ Gi AbKtj BmjKZ e`vsk WtdU/tc-AWt`i i gva`tg Kwgktb Rgv Kitz nte|

wmwKDwi wJR I G` tPÄ Kwgktbi Avf`k μtg,

Aa`vcK W: Gg Lvqi`j tnvfmb
tPqvi g`vb

wEZi bt
tK GBP we wmwKDwi wJR wj wgtUW
i`g bs-701, ÷K G` tPÄ wevi s
9/B gwZwSj ev/G
XvKv-1000