

SEC/Enforcement/909/2011/629
September 21, 2011

Registered with AD

Mr.Kutub Uddin Ahmed
S/O Late Md.Sadat Ali and Mrs Ambia Khatun
House No.-35, Road No-12
Block- K, Baridhara
Dhaka-1212.

Subject: WARNING: Non-compliance of Section 17 (e) (iii) (v) of Securities and Exchange Ordinance, 1969 in connection with unusual trading in shares of Aftab Automobiles Limited

Dear Sir,

This refers to SEC's show cause-cum-hearing notice No. SEC/Enforcement/909/2011/146 dated March 13, 2011 and your reply letter dated March 27, 2011 submitted to the Commission.

The Commission, considering your promise not to commit subject mentioned violation in future, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the defaults/contraventions made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected as a result of your above said default.

For Securities and Exchange Commission

Mustari Jahan

Deputy Director (Enforcement Dept.)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited
Chief Executive Officer, Chittagong Stock Exchange Limited

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