

Bangladesh Securities and Exchange Commission

“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”

BSEC/Enforcement/2815/2019/১২
Dated: January 16, 2021

By Special Messenger

Kabir Securities Limited
CSE TREC No.56
Lokman Tower (2nd Floor)
1646, SK. Mujib Road
Agrabad, Chittagong.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2815/2019/1246 dated December 21, 2021 based on which the hearing was conducted on January 10, 2022.

The Commission, considering your explanation vide letter dated 10.01.2022 has decided to dispose of the proceedings against Kabir Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission

Md. Mahmudul Hasan
16.01.2022
Assistant Director-v (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.

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MLC

16.01.2022

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