

BANGLADESH SECURITIES AND EXCHANGE COMMISSION

"JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESM

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2497/2018/452

Dated: October 28, 2018

By Courier Service

Kabir Securities Ltd. (CSE TREC # 056). IFCO Complex(4th Floor). 1147/A, GEC Circle, CDA Avenue, East Nasirabad, Chittagong.

Attention: Managing Director/CEO

WARNING to ensure compliance of all securities related laws in future. Sub:

refers to the commission's show cause-cum-hearing notice no. This BSEC/Enforcement/2497/2017/389 dated October 14, 2018 based on which the hearing was scheduled on October 24, 2018.

The Commission, considering your explanation vide letter dated October 23, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Kabir Securities Ltd. (CSE TREC = 056) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Hafiz Mohammed Harunur Rashid

Deputy Director (Enforcement)

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Copy for information:

Commissioner (Enforcement), BSEC Executive Director (Surveillance), BSEC Executive Director (Law), BSEC Executive Director (MIS), BSEC hairman's Office