

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3118/2021/৩১৩

By Special Messenger

Dated: November 10, 2021

Kazi Equities Limited
DSE TREC Holder No. 135
Diganto Tower (2nd Floor, North Side)
12/1, R.K. Mission Road,
Dhaka-1203.

14 NOV 2021

MIS
Director (SRMIC)

Attention: Managing Director/CEO


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3118/2021/733 dated September 26, 2021 based on which the hearing was conducted on October 04, 2021.

The Commission, considering your explanation vide letter dated 03.10.2021 has decided to dispose of the proceedings against Kazi Equities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


10.11.2021
Md. Mahmudul Hasan
Assistant Director (Enforcement)
Mobile: 01515292195
Email: mahmudulhasan@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.