



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

HIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

E-6/C, Agargaon, Shere Bangla Nagar, Dhaka-1207

BSEC/Enforcement/2466/2017/514

Dated: November 27, 2018

By Courier Service

Kazi Equities Ltd. (DSE TREC # 135),
Diganto Tower (2nd Floor, North Side),
12/1 R.K. Mission Road, Dhaka-1203.

Attention: Managing Director/CEO

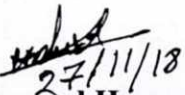
Sub: **WARNING to ensure compliance of all securities related laws in future.**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2466/2017/451 dated October 28, 2018 based on which the hearing was scheduled on November 22, 2018.

The Commission, considering your explanation vide letter dated November 22, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Kazi Equities Ltd. (DSE TREC # 135) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission


27/11/18
Hafiz Mohammed Harunur Rashid

Deputy Director (Enforcement)

Phone: 55007148

e-mail: hafiz@sec.gov.bd

Copy for information:

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Executive Director (Surveillance), BSEC

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