

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2624/2018/ ৫৯২
Dated: April 17, 2022

By Special Messenger

Kazi Firoz Rashid Securities Limited
TREC Holder Number (DSE #029)
Room No. 408 & 409, Stock Exchange Building
9/F Motijheel C/A, Dhaka-1000.

Attention: Managing Director/CEO


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2624/2018/450 dated March 13, 2022 based on which the hearing was conducted on March 21, 2022.

The Commission, considering your explanation vide letter dated 21.03.2022 has decided to dispose of the proceedings against Kazi Firoz Rashid Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,



17.04.2022

Md. Mahmudul Hasan
Assistant Director-v (Enforcement)
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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.