

সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কর্

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

Dated: 03 December, 2018

BSEC/Enforcement/2358/2016/ ちえの

Khurshid Securities Ltd. (Proposed Bluechip Securities Ltd.) (DSE TREC No. 47) Uttara Center (11th Floor) 102 Shahid Tajuddin Ahmed Sarani, Tejgaon I/A, Dhaka 1208.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2358/2016/469 dated November 08, 2018 based on which the hearing was conducted on November 22, 2018.

The Commission, considering your explanation vide letter dated 12.11.18 has decided to dispose of the proceedings against Khurshid Securities Ltd. (Proposed Bluechip Securities Ltd.) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Mohammad Al Masum Mirdha

Deputy Director (Enforcement)

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Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.

2. PS to the Honorable Chairman, BSEC.

3. PO to Executive Director (SRI), BSEC.

4. PO to Executive Director (MIS), BSEC

D(MIS)

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