

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2569/2018/539  
Dated: April 03, 2022

By Special Messenger

Khwaja Equity Services Limited (DSE TREC # 10)  
Room # 703 & 704, Stock Exchange Building  
9/F, Motijheel C/A, Dhaka-1000.


**Subject: Warning to ensure compliance of all securities related laws in future.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2569/2018/452 dated March 14, 2022 based on which the hearing was conducted on March 28, 2022.

The Commission, considering your explanation vide letter dated 09.01.2022 has decided to dispose of the proceedings against Khwaja Equity Services Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,

  
03-04-22

Rubel Hossain  
Assistant Director (Enforcement)  
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Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.