

বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

BSEC/Enforcement/3287/2022/ 1464  
Dated: November 14, 2022

By Special Messenger

Khwaja Equity Services Limited  
(DSE TREC No. 10)  
Room # 703 & 704, Stock Exchange Building, 9/F,  
Motijheel C/A, Dhaka-1000  
Bangladesh.

Attention: Managing Director/CEO

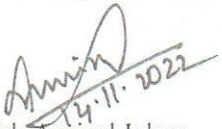
**Subject:** WARNING to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3287/2022/1206 dated September 15, 2022 based on which the hearing was schedule on October 02, 2022.

Considering the explanation vide letter dated October 02, 2022 submitted at the time of hearing, the Commission has decided to dispose of the proceedings against the **Khwaja Equity Services Limited** by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

  
Md. Amirul Islam  
Assistant Director (Enforcement)  
Phone: 01681009385  
e-mail: amirul@sec.gov.bd

**Copy for information:**

1. P.S. to Chairman, BSEC
2. P.O to Commissioner (Enforcement), BSEC
3. P.O to Executive Director (MIS), BSEC
4. P.O.to Executive Director (SRI), BSEC
5. MD, DSE