

Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Market, Market Intermediaries and Other Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3562/2024/234

Dated: March 13, 2024

LankaBangla Securities Limited

(DSE TREC Holder Number - 132)

City Center (12th Floor), Unit # 12-C & D,
90/1, Motijheel C/A, Dhaka-1000

Kind Attention: Managing Director, LankaBangla Securities Limited.


Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3562/2024/133 Dated: February 08, 2024 based on which the hearing conducted on February 29, 2024.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanation vide letter no. LBSL/BSEC/COMPL/29022024/1 dated February 29, 2024 which was submitted at the time of hearing relating to share trading of Shyampur Sugar Mills Limited (SHYAMPSUG) for the period from 17.09.2023 to 10.12.2023, has decided to dispose of the matter against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


13.03.2024

Md. Sultan Salah Uddin

Deputy Director (Enforcement)

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E-mail: ssuddin@sec.gov.bd

Copy for information:

1. PS to the Honorable Chairman, BSEC.
2. P.O. to Commissioner (Enforcement), BSEC.
3. P.O to Executive Director (MIAD), BSEC. (Ref: BSEC/Surveillance/2003-228(Vol:02)/340 dated February 01, 2024)
- ✓ 4. P.O to Executive Director (MIS), BSEC.
5. P.O. to Director (Enforcement), BSEC.
6. Managing Director, Dhaka Stock Exchange PLC (Ref: DSE/2024/IED/90 dated: January 04, 2024)