



# BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2757/2019/471

Dated: 15 June, 2023

By Special Messenger

**01. LankaBangla Securities Limited**

(DSE TREC No. 132)

City Center (12th Floor)

Unit # 12-C & D, 90/1

Motijheel C/A, Dhaka-1000.

**02. Mr. Khandoker Saffat Reza**

Chief Executive Officer (CEO)

LankaBangla Securities Limited

**03. Mr. Raihan Ahmed**

Compliance Officer

LankaBangla Securities Limited

**04. Mr. Md. Shaidus Zaman**

Accounts in- Charge

LankaBangla Securities Limited

**Subject: Non-compliance of securities related laws: Disposal of the alleged matter.**

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2757/2019/397 dated 15 May, 2023 based on which the hearing was conducted on 11 June, 2023.

The Commission, considering and examining the facts regarding allegations, physical meeting and your written explanations letter dated 11 June, 2023 which was submitted at the time of hearing, has disposed of the proceedings against you.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

*R*  
15.06.2023

Rabiul Islam

Assistant Director (Enforcement)

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Email: rabiul@sec.gov.bd

**Copy to:**

1. Managing Director, Dhaka Stock Exchange Limited
2. P. O. to Executive Director (SRI), BSEC
3. P. O. to Executive Director (MIS), BSEC
4. Chairman's Office, BSEC

*D*  
18.6.23  
*(MIS)*