

Bangladesh Securities and Exchange Commission

BSEC/Enforcement/2066/2014/ 460

Dated: 15 March, 2022

By Courier Service

“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”

LankaBangla Securities Ltd.
(TREC holder no. 132)
City Center (12th Floor), Unit # 12-C & D, 90/1, Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO


Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2066/2014/127 dated January 19, 2022 based on which the hearing was conducted on February 07, 2022.

The Commission, considering the explanation vide letter no. LBSL/BSEC/COMPL-LOAN/07022022/1 dated February 07, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against LankaBangla Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


15.03.2022

Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Managing Director, Dhaka Stock Exchange Ltd.
Executive Director (SRI), BSEC
Executive Director (MIS), BSEC