

## Bangladesh Securities and Exchange Commission

BSEC/Enforcement/3078/2021/ 1002

By Special Messenger

Dated: July 24, 2022

LankaBangla Securities Limited  
DSE TREC No. 132  
City Center (12th Floor), Unit # 12-C & D, 90/1, Motijheel C/A  
Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning to Ensure Compliance of All Securities Related Laws in Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3078/2021/945 dated June 27, 2022 based on which the hearing was scheduled and conducted on July 18, 2022.

The Commission, considering the explanation vide letter no. Nil dated July 18, 2022 which was submitted at the time of hearing, has decided to dispose of the proceedings against LankaBangla Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

  
24.07.2022

Muhammad Sadequr Rahman Bhuiyan  
Assistant Director (Enforcement)

**Copy for information:**

Chairman's Office, BSEC  
Executive Director (SRI), BSEC  
~~Executive Director (MIS), BSEC~~  
Managing Director, Dhaka Stock Exchange Ltd.